

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4032217
<b>Application Number:</b>	10042371
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6761
<b>Title of Invention:</b>	Systems and methods for creating and trading dynamic securities
<b>First Named Inventor/Applicant Name:</b>	Andrew C. Gilbert
<b>Customer Number:</b>	64558
<b>Filer:</b>	Glen Farbanish/LAURA ALTO
<b>Filer Authorized By:</b>	Glen Farbanish
<b>Attorney Docket Number:</b>	01-1046
<b>Receipt Date:</b>	30-SEP-2008
<b>Filing Date:</b>	27-DEC-2001
<b>Time Stamp:</b>	14:19:27
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3179
Deposit Account	503938
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement Letter	01-1046_080930_IDS.pdf	24214 fe8dcf92e16da373c3ca4fa83450e5de6264 c93a	no	2

### Warnings:

### Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	01-1046_080930_1449.pdf	32121 49c7f4449f317b5d05f228971d20629dc6a0 df63	no	2
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### Warnings:

### Information:

This is not an USPTO supplied IDS fillable form

3	NPL Documents	NPL_1985_Barrons_Dictionary_Finance_Investment_Terms_Page304.pdf	136137 39a2c60212e7be95c8e9505e3ef6cecc5248 9716	no	1
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### Warnings:

### Information:

4	NPL Documents	NPL_2000_Barrons_Dictionary_Business_Terms_pp_118_662.pdf	243916 d970842d34a50e231bd730eb33e6cec7efa a9f9f	no	2
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### Warnings:

### Information:

5	NPL Documents	NPL_1998_Downes_Dictionary_Terms_pp_380_689.pdf	46430 3fd8aa069623b5e1108f7a0bb02132c253cb81de	no	4
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### Warnings:

### Information:

6	Fee Worksheet (PTO-06)	fee-info.pdf	29861 16d50e22f9c61c191e67b464ec5701e0343 39418	no	2
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### Warnings:

### Information:

Total Files Size (in bytes):	512679
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.